



IPW

PTO/SB/21 (02-04)

Approved for use through 07/31/2008. OMB 0651-0031

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|---|----------------------|------------------------|------------------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 10/777,263             |                        |
|   | Filing Date          | February 12, 2004      |                        |
|   | First Named Inventor | AOKI, et al.           |                        |
|   | Art Unit             | 2641                   |                        |
|   | Examiner Name        | Unassigned             |                        |
| Total Number of Pages in This Submission  | 4                    | Attorney Docket Number | JP9-2002-0244US1 (466) |

| ENCLOSURES (Check all that apply)  |  |   |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance communication to Technology Center (TC)        |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition  | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application                          | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Terminal Disclaimer   | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
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| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> CD, Number of CD(s) _____   |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | Remarks  |   |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    |  |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |  |   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

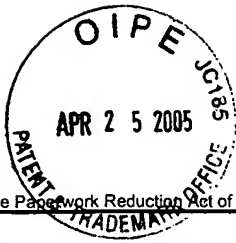
|                         |  |
|-------------------------|--|
| Firm or Individual name | BRIAN K. BUCHHEIT, REGISTRATION NO. 52,667<br>AKERMAN SENTERFITT, CUSTOMER NO. 40987 |
| Signature               |  |
| Date                    | APRIL 21, 2005   |

## CERTIFICATE OF TRANSMISSION/MAILING

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| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |   |      |                |
| Typed or printed name   | BRIAN K. BUCHHEIT, REGISTRATION NO. 52667 |      |                |
| Signature   |   | Date | APRIL 21, 2005 |

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PTO/SB/82 (09-04)

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AND  
CHANGE OF CORRESPONDENCE ADDRESS**

|                        |                        |
|------------------------|------------------------|
| Application Number     | 10/777,263             |
| Filing Date            | February 12, 2004      |
| First Named Inventor   | AOKI, et al.           |
| Art Unit               | 2641                   |
| Examiner Name          | Unassigned             |
| Attorney Docket Number | JP9-2002-0244US1 (466) |

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

40987

☒ Please change the correspondence address for the above-identified application to:

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature

Name

LYNNE D. ANDERSON, PROGRAM MANAGER, USPTO LIAISON

Date

3-23-05

Telephone

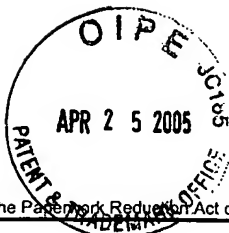
703-299-1455

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: AOKI, et al.

Application No./Patent No.: 10/777,263 Filed/Issue Date: FEBRUARY 12, 2004

Entitled: METHOD FOR PRODUCING MARINE-DERIVED COMPOUNDS AND NOVEL COMPOUNDS PRODUCED THEREFROM

INTERNATIONAL BUSINESS MACHINES CORPORATION a CORPORATION  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014426, Frame 0515, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

*Lynne D. Anderson*  
Signature

3-23-05  
Date

LYNNE D. ANDERSON  
Printed or Typed Name

703-299-1455  
Telephone Number

PROGRAM MANAGER, USPTO LIAISON  
Title

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Date: April 6, 2004

Gerald Rosenthal  
Vice President, Intellectual Property & Licensing  
International Business Machines Corporation